



526 R'd PCT/PTO 05 JUL 2001

BOX MISSING PARTS

Attorney Docket No. 82291

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Jae-sung JEON

Serial No. 09/806,460

Filed: April 2, 2001

For: **REAL-TIME CASH APPROVAL SYSTEM AND METHOD FOR PROCESSING THE SAME**

TRANSMITTAL LETTER

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter;
- (2) Copy of Notice to File Missing Parts of Nonprovisional Application;
- (3) Response to Notice to File Missing Parts of Nonprovisional Application;
- (4) Executed Declaration and Power of Attorney;
- (5) Assignment and Cover Sheet;
- (6) Verified Statement claiming Small Entity Status;
- (7) Check No. 15073 \$ 40.00 for Recordation Fee; and
- (8) Check No. 15072 \$ 65.00 Statutory Surcharge for the late filing of the Declaration;

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

07/10/2001 MNGUYEN 00000095 09806460

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65.00 OP

Respectfully submitted,
NATH & ASSOCIATES PLLC

By:


Harold L. Novick

Registration No. 26,011

Customer No. 20,529

Date: July 5, 2001

NATH & ASSOCIATES PLLC

1030 Fifteenth Street N.W., Sixth Floor

Washington, D.C. 20005

(202) 775-8383

HLN/kp



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application of

Jae-sung JEON

Serial No. 09/806,460

Filed: April 2, 2001

For: **REAL-TIME CASH APPROVAL SYSTEM AND METHOD FOR PROCESSING THE
SAME**

SUBMISSION OF MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Sir:

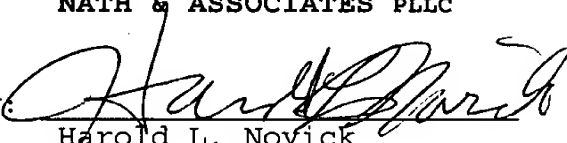
In response to the Notification of Missing Requirements of May 8, 2001, submitted herewith are the following:

- (1) Copy of Notice to File Missing Parts of Nonprovisional Application;
- (2) Executed Declaration and Power of Attorney; and
- (3) Check No. 15072 \$ 65.00 Statutory Surcharge for the late filing of the Declaration.

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted,
NATH & ASSOCIATES PLLC

By:


Harold L. Novick
Registration No. 26,011
Customer No. 20,529

Date: July 5, 2001
NATH & ASSOCIATES PLLC
1030 Fifteenth Street N.W., Sixth Floor
Washington, D.C. 20005
(202) 775-8383
HLN/kp



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

09/806460

JEON

82291

020529

5071

INTERNATIONAL APPLICATION NO.

PCT/KR00/00488

NATH AND ASSOCIATES
1030 FIFTEEN STREET
6TH FLOOR
WASHINGTON DC 20005

I.A. FILING DATE

PRIORITY DATE

05/18/00

01/10/00

DATE MAILED: 05/08/01

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Due date: 7/8/01

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☒ a Designated Office (37 CFR 1.494) ☐ an Elected Office (37 CFR 1.495):

- ☒ U.S. Basic National Fee.
- ☐ Copy of the international application.
- ☒ Oath or Declaration of inventors(s).
- ☐ Copy of Article 19 amendments.
- ☐ Priority Document.
- ☐ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Translation of Annexes to the International Preliminary Examination Report into English.
- ☐ Indication of Small Entity Status.
- ☐ Translation of the international application into English.
- ☐ Translation of Article 19 amendments into English.
- ☐ Other:

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2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee.
- ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN THE ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

- 6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
- 7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: ☒ PCT/DO/EO/917 ☐ Notice of Defective Translation
☒ PTO-875 ☐ PCT/DO/EO/920

Lamont Hunter, Paralegal

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703.305-3686



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

09/806460

JEON

INTERNATIONAL APPLICATION NO.

5071

I.A. FILING DATE / PRIORITY DATE

KATH AND ASSOCIATES
1030 FIFTEEN STREET
6TH FLOOR
WASHINGTON DC 20005

DATE RECEIVED

01/10/00

NOTIFICATION OF A DEFECTIVE OATH OR DECLARATION 05/08/01

This application fails to contain an oath or declaration acceptable under 35 U.S.C. 371(c)(4) for entry into the national stage in the United States of America. The period within which to correct the deficiency noted below and avoid abandonment is set in the accompanying Notification.

A new oath or declaration, properly identifying this application (preferably by the international application number and international filing date) is required. The oath or declaration does not comply with 37 CFR 1.497(a),(b) and (f) in that it:

1. ☒ is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
2. ☐ does not identify the application to which it is directed.
3. ☐ does not identify the inventor(s).
4. ☐ does not identify the citizenship of each inventor.
5. ☐ does not state that the person making the oath or declaration believes the named inventor or inventors to be the original and first inventor or inventors of the subject matter which is claimed and for which a patent is sought.

FAILURE TO SUBMIT AN OATH OR DECLARATION IN COMPLIANCE WITH 37 CFR 1.497(a) AND (b), AND 1.497(d) WHERE APPROPRIATE, WITHIN THE TIME PERIOD SET WILL RESULT IN FAILURE TO ENTER THE NATIONAL STAGE AND THE ABANDONMENT OF THE APPLICATION.

Additionally, the oath or declaration does not comply with 37 CFR 1.63 in that it:

1. ☐ does not identify the mailing address of each inventor. If the residence is different from the mailing address, then the city and state or city and foreign country of residence of each inventor must also be given.
2. ☐ does not state that the person making the oath or declaration:
 - a. ☐ has reviewed and understands the contents of the application, including the claims, as amended by any amendment specifically referred to in the oath or declaration.
 - b. ☐ acknowledges the duty to disclose to the Office all information known to the person to be material to patentability as defined in 37 CFR 1.56.
3. ☐ does not identify the foreign application for patent or inventor's certificate for which a claim for priority is made pursuant to 37 CFR 1.55, and any foreign application having a filing date before that of the application on which priority is claimed, by specifying the application serial number, country, day, month, and year of its filing.

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Lamont Hunter, Paralegal

Telephone: 703 305-3686